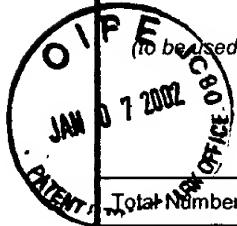




22883

Approved for use through 10/31/2002. OMB 0651-0031  
PATENT TRADEMARK OFFICE U.S. DEPARTMENT OF COMMERCECAL2176 \$  
PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/827,268
		Filing Date	Apr 4, 2001
		First Named Inventor	Kasriel
		Group Art Unit	NYA
		Examiner Name	NYA
Total Number of Pages in This Submission	6	Attorney Docket Number	155.1003.02

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached Credit Card Payment Form PTO-2038	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

RECEIVED

JAN 17 2002

OFFICE OF PETITIONS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven A. Swernofsky	Reg. no. 33,040
Signature		
Date	10-24-01	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

10-24-01

Type or printed name	Arlette Malhas, Paralegal
Signature	
Date	10-24-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



22883

PATENT TRADEMARK OFFICE

PTO/SB/17 (10-01)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

JAN 07 2002

TOTAL AMOUNT OF PAYMENT (\$630.00)

Complete if Known

Application Number	09/827,268
Filing Date	4/4/2001
First Named Inventor	Kasriel, et al.
Examiner Name	NYA
Group/Art Unit	NYA
Attorney Docket No.	155.1003.02

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OFFICE OF PETITIONS

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-0365

Deposit Account Name

Swernofsky Law Group

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	(\\$)
101	740	201	370
Utility filing fee			385.00
106	330	206	165
Design filing fee			
107	510	207	255
Plant filing fee			
108	740	208	370
Reissue filing fee			
114	160	214	80
Provisional filing fee			

SUBTOTAL (1) (\$385.00)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from Below	Fee Paid
			-20**=	20	X 18 = 180.00
			-3**=		=

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	(\\$)
103	18	203
		9
Claims in excess of 20		
102	84	202
		42
Independent claims in excess of 3		
104	280	204
		140
Multiple dependent claim, if not paid		
109	84	209
		42
**Reissue independent claims over original patent		
110	18	210
		9
**Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$180.00)

\*\*or number previously paid, if greater; For Reissues, see below

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	(\\$)
105	130	205	65
Surcharge – late filing fee or oath			65.00
127	50	227	25
Surcharge – late provisional filing fee or cover sheet.			
139	130	139	130
Non-English specification			
147	2,520	147	2,520
For filing a request for reexamination			
112	920*	112	920*
Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*
Requesting publication of SIR after Examiner action			
115	110	215	55
Extension for reply within first month			
116	400	216	200
Extension for reply within second month			
117	920	217	460
Extension for reply within third month			
118	1,440	218	720
Extension for reply within fourth month			
128	1,960	228	980
Extension for reply within fifth month			
119	320	219	160
Notice of Appeal			
120	320	220	160
Filing a brief in support of an appeal			
121	280	221	140
Request for oral hearing			
138	1,510	138	1,510
Petition to institute a public use proceeding			
140	110	240	55
Petition to revive – unavoidable			
141	1,280	241	640
Petition to revive – unintentional			
142	1,280	242	640
Utility issue fee (or reissue)			
143	460	243	230
Design issue fee			
144	620	244	310
Plant issue fee			
122	130	122	130
Petitions to the Commissioner			
123	50	123	50
Petitions related to provisional applications			
126	180	126	180
Submission of information Disclosure Stmt			
581	40	581	40
Recording each patent assignment per property (times number of properties)			
146	740	246	370
Filing a submission after final rejection (37 CFR § 1.129(a))			
149	740	249	370
For each additional invention to be examined (37 CFR § 1.129(b))			
179	740	279	370
Request for Continued Examination (RCE)			
169	900	169	900
Request for expedited examination of a design application			

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$65.00)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Steven A. Swernofsky	Registration No. (Attorney/Agent)	33,040
Signature			
Telephone	650-947-0700		
Date	10-24-01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



22883

PATENT TRADEMARK OFFICE

#4

155,1003.02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

KASRIEL

Serial No. 09/827,268

Filed: 4/4/2001

For: Server-Originated Differential  
Caching

Art Unit: Not Assigned JAN 17 2002

Examiner: Not Assigned OFFICE OF PETITIONS

Tel: Not Assigned

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on 10-24-01  
Date

*Arlette Malhas*

Arlette Malhas

CHANGE OF STATUS LETTER

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

The above-identified patent application was originally filed claiming small entity status in good faith. It is now discovered that small entity status was claimed inadvertently in error with no deceptive intent. Pursuant to 37 CFR 1.28(c) the applicant no longer claims small entity status and authorization is hereby granted to charge the fee deficiency per the included Credit Card Payment Form PTO-2038.

01/17/2002 SSAHIDARA 00000018 09827268

01 FC:101	740.00 OP
02 FC:103	360.00 OP
03 FC:105	130.00 OP

In the event we have miscalculated the fee deficiency, Deposit Account No. 50-0365 may be used to credit any overpayment or make up any underpayment thereof.

Respectfully submitted,

Dated: 10-24-01

  
\_\_\_\_\_  
Steven A. Swernofsky  
Reg. No. 33,040

Swernofsky Law Group  
P.O. Box 390013  
Mountain View, CA 94039-0013  
(650) 947-0700